UFV
Teaching guide

IDENTIFICATION DETAILS

Degree:	Law

Field of Knowledge:	Social and Legal Sciences

Faculty/School:	Law, Business and Government

Course:	SECURITIES AND CREDIT MARKET

Type:	Compulsory	ECTS credits:	6

Year:	4	Code:	7245

Teaching period:	Seventh semester

Subject:	Commercial Law

Module:	Private Law

Teaching type:	Classroom-based

Language:	Spanish

Total number of student study hours:	150

SUBJECT DESCRIPTION

The subject of "Securities and Credit Market" aims to introduce students to the understanding of the functioning of financial markets from the perspective of the shareholder and the investor. As well as providing you with basic knowledge about the mechanics of the banking system.

GOAL

The objective of the program is to introduce law students to stock and financial concepts, to familiarize them with language and essential concepts.

Learn why companies go public, how they are financed, what parameters analysts and investors consider when buying and selling securities and what are the dynamics of the stock market.

PRIOR KNOWLEDGE

No prior knowledge is required.

COURSE SYLLABUS

1. Financial markets and assets
 1.1 What are financial markets?
 1.2 Investment and Financing
 1.3 Market Types
 1.4 System Supervisors

2. The bank
 2.1 The different banking models
 2.2 Asset and liability transactions
 2.3 Bank income statement and balance sheet (summarized)
 2.4 Banking crises
 2.5 Regulation

3. Fixed income
 3.1 Types of fixed income assets
 3.2 Features
 3.3 Rating

4. Variable income
 4.1 Types of Equity Assets
 4.2 Features
 4.3 Rating

5. The stock market
 5.1 Structure
 5.2 Types of Transactions
 5.3 Indices
 5.4 Ratios

EDUCATION ACTIVITIES

The development of the subject will be carried out taking into account the following training activities:

PARTICIPATORY MASTER LESSON:

Unlike the classic master lesson, in which the burden of teaching falls on the teacher, in the participatory master class we seek to move the student from a passive attitude to an active one, encouraging their participation. For this purpose, the teacher will carry out a good structure of the basic content of the subject, allowing the student to understand the basic concepts and interact with the class by asking questions. Questionnaires may be included through Canvas, to encourage student participation and self-evaluation.

PROJECT-BASED LEARNING:

Learning methodology in which students acquire an active role by carrying out a project. This project begins with a challenging question on the part of the teacher and linked to real life. The teacher must ensure that the student has everything necessary to solve it, and that in his resolution he will develop all the skills that are desired. In the case of this subject, students must manage an investment portfolio, with real stock price data based on a weekly review, forcing them to make purchases and sales, with diversification conditions similar to those required by the CNMV. The work will be carried out individually.

BUSINESS SIMULATION:

A business simulator or similar tools can be used to help students understand the financial system. Learning, in this case, is not generated by the transmission of technical or theoretical knowledge, but by the generation of a high-value experience. Knowledge by discovery. This training action is complementary to others that are usually carried out, since an adequate combination of training methodologies makes it possible to obtain greater efficiency of the student's effort. The work will be carried out as a team.

COOPERATIVE LEARNING:

A methodology that is transversal to other teaching and learning methods that promotes the development of interpersonal, social and teamwork competencies that are decisive for the student's professional and personal success. Cooperative learning is much more than teamwork since it is also applicable to the master class. This methodology improves student autonomy and promotes deep learning. Debates may be held in class or through forums on Canvas for this purpose, to deepen the topics discussed in class or to comment on current news related to the content of the subject.

TUTORING:

It consists of individual attention to the student with the objective of reviewing and debating the topics presented in class and clarifying any doubts that have arisen. The student is also guided on all the elements that make up the learning process.

ACTIVITIES NOT NECESSARILY FACE-TO-FACE - THEORETICAL STUDY:

Study of the theoretical contents of the program, which allow the student to carry out all the above-mentioned training activities and pass the final exam.

In content acquisition evaluation tests (exams), autonomous learning capacity can be evaluated.

DISTRIBUTION OF WORK TIME

TEACHER-LED TRAINING ACTIVITIES	INDIVIDUAL WORK
60 Horas	90 Horas

SKILLS

Basic Skills

Students must have demonstrated knowledge and understanding in an area of study that is founded on general secondary education. Moreover, the area of study is typically at a level that includes certain aspects implying knowledge at the forefront of its field of study, albeit supported by advanced textbooks

Students must be able to apply their knowledge to their work or vocation in a professional manner and possess skills that can typically be demonstrated by coming up with and sustaining arguments and solving problems within their field of study.

Students must have the ability to gather and interpret relevant data (usually within their field of study) in order to make judgments that include reflections on pertinent social, scientific or ethical issues

Students must be able to convey information, ideas, problems and solutions to both an expert and non-expert audience

Students must have developed the learning skills needed to undertake further study with a high degree of independence

To apply theoretical knowledge in the solving of problems.

To acquire an ability for analysis, synthesis, assessment and critical reasoning.

To learn independently.

General Skills

To apply theoretical knowledge in the solving of problems.

To acquire an ability for analysis, synthesis, assessment and critical reasoning.

To learn independently.

Specific skills

Develop criteria for problem solving and decision-making.

Develop habits of rigorous thinking, exercising the capacity for analysis and synthesis.

Use feedback.

LEARNING RESULTS

He understands basic financial concepts and how they affect states and companies.

You are familiar with stock market and financial language.

Understand that basic financial mathematics is an important tool for the valuation of financial instruments.

Interpret basic financial and economic information.

LEARNING APPRAISAL SYSTEM

ORDINARY EVALUATION SYSTEM - STUDENTS IN FIRST ENROLLMENT - Class participation: 20%. - Preparation of papers and resolution of practical cases: 30%. - Final exam: 50%. As it is essential to obtain at least a 5 out of 10, otherwise the student will be automatically suspended. Class attendance is mandatory and to average these percentages it is necessary to attend 80% of classes. If the student attends 80% of the classes and submits all the papers and practical cases on the indicated date, they will have the opportunity to pass the subject during the course by means of a knowledge test, which may be oral or written. ALTERNATIVE EVALUATION SYSTEM - STUDENTS WITH EXEMPTION FROM ATTENDANCE PRIOR TO CAREER AUTHORIZATION AND IN SECOND OR SUBSEQUENT ENROLLMENT (REPEATERS) - Preparation of papers and resolution of practical cases: 30%. - Final exam: 70%. As it is essential to obtain at least a 5 out of 10, otherwise the student will be automatically suspended. To average in this case, class attendance is not necessary. THE SUBJECT WILL BE APPROVED WHENEVER, REGARDLESS OF THE EVALUATION METHOD USED, A GRADE EQUAL TO OR GREATER THAN 5 OUT OF 10 IS ACHIEVED. In the extraordinary call, the percentages will correspond to those of the alternative evaluation system. Plagiarism, as well as the use of illegitimate means in evaluation tests, will be sanctioned in accordance with the University's Evaluation Regulations and Coexistence Regulations. The teacher reserves the right to ask the student orally about any of the evaluation tests (exams and papers) in case of suspected fraud. If the student does not respond satisfactorily to the questions asked, the teacher may suspend

him, without prejudice to the provisions of the University's Coexistence Regulations in case of fraud. Students in second and subsequent enrollment may choose between either of the two previous systems, with prior notice to the teacher at the beginning of the semester. In their case, it is not necessary to request a waiver of assistance. UFV students on an exchange stay will take advantage of the alternative evaluation system, and it is their responsibility to know it. All tests susceptible to evaluation will be subject to the provisions of the UFV evaluation regulations.

ETHICAL AND RESPONSIBLE USE OF ARTIFICIAL INTELLIGENCE

1.- The use of any Artificial Intelligence (AI) system or service shall be determined by the lecturer, and may only be used in the manner and under the conditions indicated by them. In all cases, its use must comply with the following principles:
a) The use of AI systems or services must be accompanied by critical reflection on the part of the student regarding their impact and/or limitations in the development of the assigned task or project.
b) The selection of AI systems or services must be justified, explaining their advantages over other tools or methods of obtaining information. The chosen model and the version of AI used must be described in as much detail as possible.
c) The student must appropriately cite the use of AI systems or services, specifying the parts of the work where they were used and describing the creative process followed. The use of citation formats and usage examples may be consulted on the Library website(https://www.ufv.es/gestion-de-la-informacion_biblioteca/).
d) The results obtained through AI systems or services must always be verified. As the author, the student is responsible for their work and for the legitimacy of the sources used.
2.- In all cases, the use of AI systems or services must always respect the principles of responsible and ethical use upheld by the university, as outlined in the Guide for the Responsible Use of Artificial Intelligence in Studies at UFV. Additionally, the lecturer may request other types of individual commitments from the student when deemed necessary.
3.- Without prejudice to the above, in cases of doubt regarding the ethical and responsible use of any AI system or service, the lecturer may require an oral presentation of any assignment or partial submission. This oral evaluation shall take precedence over any other form of assessment outlined in the Teaching Guide. In this oral defense, the student must demonstrate knowledge of the subject, justify their decisions, and explain the development of their work.

BIBLIOGRAPHY AND OTHER RESOURCES

Basic

Antonio Calvo... [et al.]. Manual of the Spanish Financial System/27th ed. act. Barcelona: Ariel, 2018.

Julián Santos Peñas... [et al.]. The stock market: operation, analysis and investment strategies/Madrid:Academic Editions, 2002.

Anibal Sánchez Andrés. Legal studies on the stock market/Civitas,2008.